



Permit Application for the Operation of a Tridem Drive - Quad Axle Semi-Trailer

Note: This application considers ALL National Safety Code (NSC) vehicles registered to your company that travel into, through or from Alberta and ALL drivers who operate those NSC vehicles, including those that may operate under a Quad Axle Semi-Trailer Equipment Exemption permit.

If this is a renewal submit renewal form with application.

Date: _____

New Application

Renewal _____

Submit Annual Renewal Form with Application

_____ *Expiry Date*

Application will be returned to carrier if Annual Renewal form is not submitted with application

Revision _____

Current Permit # If more than one permit attach list

_____ *Expiry Date*

Submit Sections A and G of this application if adding additional vehicles or drivers

National Safety Code (NSC) Number: _____ *(shown on Safety Fitness Certificate)*

MVID _____

Section A: Carrier Information

Name of Registered owner of Vehicles (Applicant): _____
Company name or Individual's Name : Trade names not acceptable

Address: _____

City / Town: _____

Postal Code: _____ Telephone #: _____ Fax #: _____

Contact Person: _____

E-mail Address: _____
(Required)

Monitoring Agency: _____ Contract Number: _____
(must be submitted prior to permit being issued)

Approved Monitoring Agents

GeoTrac International
401 5940 MacLeod Tr SW
Calgary, AB T2H 2G4
1 877 261-2962

Global Fleet Management

1 877 787-2231 ext. 206
Judy Schmidt

Home Mill: _____
Registered for the quad axle semi-trailer program with Alberta Transportation and identified on the required log haul map. This mill is responsible for monitoring and reporting data for these vehicles to Transport Engineering.

Note: Information submitted in this application can be subject to audit at any time.

New Applicants: Carrier review may take up to 30 days.

Permit Renewal: Submit application a minimum of 30 days prior to expiry date of current permit to ensure review is

complete prior to expiry of permit

Section B: Application Information

1. Identify the base plated jurisdiction(s) (province) of all the vehicle(s) in the fleet.
 Identify the number of base plated vehicles in each jurisdiction.
 Identify the total number of Quad Axle Semi-Trailer vehicles registered in each jurisdiction.

A Federal Operating Status is required to operate outside Alberta for vehicles base plated in Alberta.

Must Provide Carrier Profile from each Jurisdiction, including current safety rating, for vehicles base plated outside of Alberta.

Jurisdiction	Total Number of Based Plated Vehicles in each Jurisdiction	Total Number of Quad Axle Semi-Trailer Vehicles Operating Under Permit

2. **Have you been**

- (a) denied a permit or had any permit or certificate cancelled or suspended in Alberta or by any other jurisdiction in the past 12 months?

Yes No

- (b) associated with a carrier or company that had any permit or certificate cancelled in Alberta or by any other jurisdiction in the past 12 months?

Yes No

If YES, list jurisdiction(s) and Safety Fitness identification numbers(s)

Jurisdiction

Safety Fitness Number

Jurisdiction

Safety Fitness Number

Comments

3. Has the Applicant, the Applicant's partners, Directors, Shareholders or beneficial owners of the applicant motor carrier, at any time been subject to a "Conditions" or Unsatisfactory" safety fitness rating in any jurisdiction in North America, including Alberta, for any commercial transportation operation?

Yes No

If YES, list jurisdiction(s) and Safety Fitness identification numbers(s)

<i>Jurisdiction</i>	<i>Safety Fitness Number</i>
<i>Jurisdiction</i>	<i>Safety Fitness Number</i>

Section C: Description of the Nature of Your Transportation Business *(including identification of general types of commodities/loads being transported)*
Add additional pages, if required

Section D: National Safety Code Compliance

1. Which of the following have you implemented?

- Written Safety Plan - *Commercial Vehicle Certificate and Insurance Regulation, (AR 314/2002)*
- Individual Driver Files - *Commercial Vehicle Certificate and Insurance Regulation, (AR 314/2002)*
- Written Maintenance Plan - *Commercial Vehicle Safety Regulation, (AR 121/2009)*
- Individual Vehicle Files - *Commercial Vehicle Safety Regulation, (AR 121/2009)*

2. Which of the following reference material have you reviewed? (See: www.transportation.alberta.ca/)

- All relevant legislation (*e.g. Hours of Service, Maintenance, Safety, etc*)
- Your Carrier Profile within the past year
- Alberta's Reference Guide for Hours of Service Training Development
- Alberta's Education Manual on general transportation compliance
- Quad Axle Training Guide

3. Have you undergone a full National Safety Code (NSC) audit in Alberta, within the last 3 years?

Yes No

If YES, fill in table for each Jurisdiction with base plated Quad Axle Semi-Trailer vehicles

Jurisdiction	Date of Audit	Audit Score

If NO, the department (Transport Engineering) will determine when an Audit will be required.

Section E: Description of Internal Controls to Ensure Compliance

Briefly describe any process(s) you have in place such as staff training, internal monitoring and staff discipline, to ensure compliance with applicable Safety Laws *(including but not limited to the operation and control of vehicles, weight and dimensions, vehicle maintenance and repair, safe loading.)***(Add additional pages, if required)**

Section F: Driver Information

- Complete the attached **Driver Check List (Appendix A)** that identifies the drivers that will be authorized to operate using this permit. You are required to provide updates of the Driver Check List to the Director, Transport Engineering when staff changes are made.
- Have all drivers **(listed on the Driver Check List Appendix A)** who are authorized to operate vehicles under this permit, completed applicable training *(see [Quad Axle Semi-Trailer Training Guide](#))*

Yes No

If NO, explain: *(No driver, even if included on the Driver List, can use the permit until all the required trainings are completed)*



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Section G: Vehicle Information

Complete the attached form listing the quad axle semi-trailer vehicles you will be operating. If more pages are required you can make additional copies of the form. If you have a large fleet list and already have this information in electronic format, we will accept an electronic report, provided **all** of the information that is specified on this form is included.

Unit Number	Truck Plate Number	Serial Number	Trailer Plate Number	Serial Number

Section H: Collision Information

The permit holder must investigate and document the results of every traffic accident involving a commercial vehicle registered to the permit holder for more than 4500 kilograms that resulted in:

- a) The death of a person;
- b) An injury requiring treatment by a medical doctor;
- c) A condition that causes an employee to lose consciousness; or
- d) Damage to all property, including cargo, totaling \$2,000 or more.

For more details, refer to Attached Conditions for Quad Axle Semi-Trailer

<http://www.transportation.alberta.ca/Content/docType276/Production/quad.pdf>

Note: At any time the Director of Carrier Services may verify that collision evaluations are being conducted, preventability is being determined as specified, reports are being prepared and retained, and actions are being taken to ensure future similar collisions are being avoided

Section I: Safety Review

Prior to issuance of the permit, the department will determine:

- a) Whether this permit application is complete;
- b) Whether the vehicles meet the requirements, as defined by the [Attached Conditions for Quad Axle Semi-Trailer](#) and [Attached Conditions for Tridem Drive Truck – Quad Axle Semi-Trailer Winter Weights](#) (if applicable) ,
- c) That the following information is acceptable:
 - i. the contents of your current Alberta Carrier Profile;
 - ii. past compliance to "safety laws" in Alberta or in any other jurisdiction;
 - iii. the results of an Alberta NSC Audit conducted within the last 3 years;
 - iv. content and implementation of applicant's policies/procedures regarding driver training on compliance for operation of a tridem drive – quad axle semi-trailer,
 - v. content and implementation of applicant's written policies/procedures regarding the applicant's compliance to all permit conditions; and
 - vi. any other information the Director considers appropriate.

At the end of this preliminary review, the Director may:

- a) Issue the permit for the full year;
- b) Issue the permit with a reduced time period;
- c) Apply conditions to be satisfied prior to issuing or renewing the permit;
- d) Table the application until it is considered "complete" or Applicant is verified as being in compliance;
- e) Not support issuing the permit due to safety/compliance concerns.

The application may be returned if the applicant has failed to provide all requested information.

Section J: Permit Conditions

Summary of Permit Conditions:

1. Permit Holder must have a monitoring agreement in place with an approved third party monitoring agency for each permitted quad axle vehicle.
2. Monitor the device daily for compliance
3. Provide training and certify that all drivers operating this vehicle have taken a mandatory driver training course and are qualified to operate this trailer (see [Quad Axle Training Guide](#))
4. Maintain training files on drivers operating the vehicle.
5. Provide an audit by a third party auditor annually:
 - To cross reference the carrier's TM9's to records provided by the Monitoring Agency to verify the monitoring device was operational.
 - To verify that during a suspension, the weights of the loads hauled do not include the quad axle.
 - To verify that drivers have taken a mandatory driver training course.
6. The permit holder will make arrangements with an approved third party monitoring agency to receive, review, and post data from the on-board monitoring system.
7. The permit holder agrees to provide access to the information collected by the Monitoring Agency to Alberta Transportation.
8. The permit holder agrees to abide by the requirements of the permit. Non-compliance with the permit conditions may result in the cancellation of the permit.
9. The permit holder will obtain a separate permit for each quad axle vehicle operating.
10. All costs associated with the installation of sensors and monitoring device, monitoring, auditing and permitting of the vehicle is the responsibility of the Permit Holder.
11. Permit Holder must have and maintain an acceptable Carrier Profile (including R-Factor score), general NSC compliance (including good audit score) and permit compliance (including good Permit Inspection score, when available).
12. The Permit Holder must have and implement a written policy which identifies that they effectively monitor the compliance of each driver to the permit conditions for the quad axle semi-trailer.
13. The Permit Holder must have and implement specific written policies and procedures identified in their safety program to ensure that all conditions of the permit are being complied with at all times.

Do you understand and agree to abide by these policies?

Yes No

If NO, explain:

Section K: Certification

I certify that I understand and agree to abide by the above requirements, and that information provided in this application is true and correct.

Print Name

Signature

Position/Title

Date

Email Address

Email, Mail or Fax Completed Application

Central Permit Office
Transport Engineering
401 4920 51 Street
Red Deer, AB T4N 6K6

Email: central.permits@gov.ab.ca
Fax: 403-340-5278
Phone: 800-662-7138 or 403-342-7138
(Toll Free in Alberta by first dialing 310-0000)

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New Applicants: Review of application may take up to 30 days.

Permit Renewal: Submit application a minimum of 30 days prior to expiry date of current permit to ensure review is complete prior to expiry of permit



Driver Check List
Appendix A

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Carrier _____

MVID _____ Quad Axle Driver List as of _____

DRIVER NAME	Birth Date	Driver Licence Class and Jurisdiction	Driver Licence Number	Driver Licence Expiry Date	Driver Abstract Date	Quad Axle Training Course Date
	mm/dd/yy			mm/dd/yy	mm/dd/yy	mm/dd/yy
Sample Driver	01/15/65	1 / AB	123456-789	01/31/17	02/20/16	03/20/16