

STATEMENT OF QUALIFICATIONS

Pre-qualification Submissions for

Prime Engineering Consultant Services

on Highway and Bridge Projects

INTRODUCTION

Alberta Transportation is accepting submissions from Consulting Engineering firms interested in pre-qualifying for the provision of Highway and Bridge Design and Construction Supervision services as a “Prime Consultant” on projects throughout Alberta.

A description of the Department’s requirements for each type of service may be found in the “Engineering Consultant Guidelines for Highway and Bridge Projects”, Volumes 1 and 2, available from Tender Administration, Alberta Transportation.

Only firms intending to offer “Prime Consultant” services on these types of projects need respond to this call. Separate pre-qualification is required for firms offering Quality Assurance Testing.

SUBMISSION PROCESS

All pre-qualification submissions shall be limited to not more than 10 pages. Resumes, project summaries and corporate brochures are in addition to the above.

Only one submission per consultant firm or corporate entity will be accepted.

Submissions must include:

1. CATEGORIES OF SERVICES

An indication of which of the following categories the Consultant is interested in providing services as a prime consultant.

1. Highways:

- **Chip Seal**
- **Paving (including base course)**
- **Grading**

2. Bridges:

- **Bridge Planning (including preliminary engineering)**
- **Design (including rehabilitation) – Culverts/Standard Bridge Structures**
- **Design (including rehabilitation) – Major Bridge Structures**
- **Project Management (including construction inspection)**

3. Complex Projects:

A complex project is defined as a project that involves extensive technical/engineering and management issues. It has most or all of the following unique or unusual requirements: the involvement of multiple engineering disciplines, multiple and overlapping project phases, extensive technical problems involving specialist sub-consultants, involvement/co-ordination with other jurisdictions, and will usually involve significant engineering fees. The number of complex projects awarded every year will be limited.

For each category of service, the Consultant must provide information to demonstrate they are capable of managing the projects.

A Consultant's use of sub-consultants versus in-house expertise to provide a full service to the Department will not be a factor in categorizing a firm provided that the Consultant demonstrates the ability to efficiently manage projects as a "Prime Consultant".

Most of the Department's assignments involve projects with either extensive technical/engineering or managerial issues or both. Examples would be preliminary survey/design/construction management of a grading and/or paving project, pavement rehabilitation including curve revisions and side slope improvements, preliminary engineering/design/construction inspection of a 3 span major bridge or concrete arch culvert, and projects involving more than one of the above. The Department generally awards combined design and construction assignments.

To be considered for pre-qualification, a consultant must satisfy the Department that they have successfully completed similar work on major highways within the last 2 years. These projects need not have been in Alberta nor for Alberta Transportation. The Consultant must demonstrate that they have staff to lead the work and have sufficient back-up and corporate resources.

2. CORPORATE INFORMATION

- a. Company name, address and mailing address (if different), telephone number, fax number and an e-mail address.
- b. Contact name, position, telephone number and an e-mail address.
- c. Location, address, telephone number, fax number and an e-mail address of any branch or regional offices within and outside Alberta.
- d. Year company established.
- e. APEGGA permit to practice number.
- f. Safety Certification of Recognition (mandatory requirement).
- g. Ownership, affiliated and sister companies.
- h. Corporate organizational chart including sister companies/affiliated organizations and showing the management structure for the service categories.

3. STAFF

- a. Name and location of all directors and officers.
- b. Name and resumé (1 page) of the senior manager/principals responsible for the service categories of interest.
- c. Name and resumé (1 page) of the key staff for the service categories of interest. Resumés should include education, experience (including the number of years of experience in the applicable category of service), and recently completed projects showing the completion date and the individual's role on each of the projects.
- d. Names of branch or local office managers.
- e. Number of full time professional engineers employed within and outside Alberta for each service category of interest.
- f. Number of full time technical staff employed within and outside Alberta for each service category of interest.
- g. Office from which each staff member is based.

4. TYPICAL PROJECTS

One page project summaries of the 3 most recent assignments that the firm has completed, or is currently undertaking, in each of the service categories that the Consultant wants to pre-qualify in.

The summaries must include:

- a. Title, location and brief description of the project.
- b. Services provided by the Consultant as they relate to pre-qualification.
- c. Role and responsibility of the Consultant in the project (eg. prime or sub-consultant)
- d. Name and services provided by any sub-consultant.
- e. Key Consultant staff on the project and their roles.
- f. Start and completion dates of the project.
- g. Construction cost and Consultant's total professional fee of the project.
- h. Name and telephone number of owner representative.
- i. Name and telephone number of contractor representative.

5. FINANCIAL INFORMATION

Total professional fees for highway and bridge consulting services over the last 1, 3 and 5 years.

GENERAL INFORMATION

No payment will be made by Alberta Transportation for costs incurred in the preparation or submission of this pre-qualification document.

All documents submitted by the Consultant shall be subject to the disclosure provisions of the Freedom of Information and Protection of Privacy Act.

Pre-qualification of firms will be at the sole discretion of Alberta Transportation. The Department reserves the right to deny pre-qualification to Consultants with inadequate credentials or due to unsatisfactory past performance. Pre-qualification status may be withdrawn at any time due to unsatisfactory performance or significant change in the status of the Consultant (i.e. retirement or departure of key staff) or failure to maintain safety certification. The Department may interview the Consultant during the pre-qualification process. All firms submitting applications will be notified of their classification. Re-submission of pre-qualification documents will not be considered unless there is a significant material change in the status of the firm (e.g. corporate merger or addition of key staff).

Consultants shall have no pecuniary interest in the business of any third party that would cause, or seem to cause, a conflict of interest in carrying out any Prime Consultant services for the department. Should such an interest be acquired during the pre-qualification period, the Consultant shall declare it immediately to Alberta Transportation. The Department will, immediately upon notification, take whatever action it deems appropriate. This may include suspension of pre-qualification status. Failure to notify the Department will result in removal of pre-qualification status.

The Department requires that all "Prime Consultants" must have a safety Certificate of Recognition from the Alberta Construction Safety Association or an approved equivalent.

Firms are advised that a small employers Certificate of Recognition (for employers with less than 10 employees) is not considered acceptable.

For firms who have not obtained a Certificate of Recognition, a valid Temporary Letter of Certification (TLC) issued by the Alberta Construction Safety Association (ACSA) will be considered acceptable.

Alberta Transportation will confirm that the firm possesses a COR or a valid TLC through the Alberta Construction Safety Association.

Prospective firms which do not possess a COR and wish to obtain information about obtaining a COR or TLC, are advised to contact:

The Alberta Construction Safety Association

10949 – 120 Street

Edmonton, Alberta

T5H 3R2

☎ (780) 453-3311 or 1-800-661-2272

fax: (780) 455-1120

www.acsa-safety.org

Firms that do not meet the requirements for Safety Certification will not be pre-qualified as “Prime Consultants”.

The Consultant must complete and include as the first page of the pre-qualification submission the attached “Pre-qualification for Prime Consultants on Highway and Bridge Projects” form.

PROJECT ASSIGNMENT

Projects are classified by service type.

The Department develops lists of priority Highway and Bridge projects and circulates these lists to consultant pre-qualified in the applicable service categories through a Request for Expression of Interest (RFEI) process. The Department utilizes the information from the consultants’ responses to the RFEI in the development of short lists for the Request for proposal (RFP) process. Generally three pre-qualified firms are short listed and requested to submit proposals for a given project.

If requested to submit a proposal, the Consultant team must be pre-qualified in all applicable service categories for the project. This may require the Consultant to partner with other pre-qualified firms. **Proposals that do not meet this requirement will be rejected.**

Quality based criteria is used to evaluate and score the proposals leading to award of the assignment.

Consultants will be required to sign an Alberta Transportation Consulting Services Contract prior to commencement of each assignment. This Contract stipulates that the Consultant must possess General Liability Insurance, in accordance with the Alberta Insurance Act, in an amount not less than \$2 million inclusive per occurrence, Automobile Liability insurance on all vehicles owned, operated or licensed in the name of the Consultant in an amount not less than \$1 million, Professional Liability insurance in amount of not less than \$1 million and “All Risk” valuable papers insurance.

SUBMISSION OF PRE-QUALIFICATION REQUESTS

If your firm is interested in providing Prime Engineering Consultant services on highway projects, then please forward six (6) copies of your submission to **Attention: Allan Donovan, P. Eng., Professional Services Section, Alberta Transportation, 2nd Floor, Twin Atria Building, 4999 - 98th Avenue, Edmonton, Alberta, T6B 2X3.**

RE-ASSESSMENT OF PRE-QUALIFICATION STATUS

Every 5 – 6 years, the Department will issue an open call to all pre-qualified consultants for submission of **full pre-qualification packages** for comprehensive review and re-assessment of pre-qualification status.

In-between the comprehensive reviews and every 1 – 2 years, the Department will require all pre-qualified firms intending to renew their pre-qualification status to indicate any changes in personnel or corporate structure. Pre-qualified firms that have consistently performed at an acceptable level and that have not had any significant changes in personnel or corporate structure will be able to renew their pre-qualification status by simply notifying the Department.

In addition, pre-qualified firms are also required to advise the Department of any significant changes in the firm's personnel or corporate structure **when they occur**. Depending on the magnitude of the change, there may be a requirement for re-assessment of a firm's pre-qualification status.

Pre-qualified firms that have not performed at an acceptable level may be subject to sanction at the sole discretion of the Department.

CONTACT

For further information, please contact:

Allan Donovan, P. Eng.
Manager, Consultant Compliance and Performance
2nd Floor, Twin Atria Building
4999 - 98th Avenue
Edmonton, Alberta
T6B 2X3
(780) 422-4202
[Email:allan.donovan@gov.ab.ca](mailto:allan.donovan@gov.ab.ca)

OR

Roger Skirrow, P. Eng.
Director, Professional Services
2nd Floor, Twin Atria Building
4999 - 98th Avenue
Edmonton, Alberta
T6B 2X3
(780) 422-7672
[Email:roger.skirrow@gov.ab.ca](mailto:roger.skirrow@gov.ab.ca)

PRE-QUALIFICATION FOR PRIME CONSULTANTS ON HIGHWAY AND BRIDGE PROJECTS

Name of Consulting Firm:

Signed on behalf of Consultant by:

Name and Title of Applicant:

INDICATE THE PROJECT CATEGORY THE CONSULTANT IS INTERESTED IN PROVIDING SERVICES:

CATEGORY		INDICATION OF INTEREST
1.	Highways	a) Chip Seal
		b) Paving
		c) Grading
2.	Bridges	a) Bridge Planning
		b) Design – Culverts and Standard Bridge Structures
		c) Design – Major Bridge Structures
		d) Project Management
3.	Complex Projects	